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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 02-1133-C)

In application of)	
)	
Primiano et al.)	Examiner: Lynn Anne Bristol
)	
Serial No.: 10/692,303)	
)	Group Art Unit: 1643
Filed: October 23, 2003)	
)	
For: Antibody-Mediated Induction)	Confirmation No.: 7346
Of Tumor Cell Death)	

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

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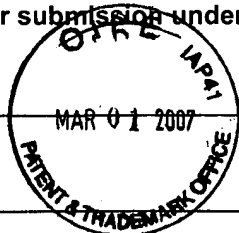
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By:

[Signature]
Kevin E. Noonan, Ph.D.
Reg No. 35,303

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)



Application Number	10692303
Filing Date	2003-10-23
First Named Inventor	T. Primiano
Art Unit	1643
Examiner Name	Lynn Anne Bristol
Attorney Docket Number	02-1133-C

U.S. PATENTS

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1	Bateman et al., Outline structure of the human L1 cell adhesion molecule and the sites where mutations cause neurological disorders, 1996, EMBO J. 15: 6050-6059	<input type="checkbox"/>
2	Chen et al., Prevention of neuronal cell death by neural adhesion molecules L1 and CHL1, 1999, J. Neurobiol. 2: 428-39	<input type="checkbox"/>
3	Kamiguchi et al., Role of L1 in neural development: what the knockouts tell us, 1998, Mol. Cell. Neurosci. 12: 48-55	<input type="checkbox"/>
4	Thies et al., Overexpression of the cell adhesion molecule L1 is associated with metastasis in cutaneous malignant melanoma, 2002, Eur. J. Cancer 3:17 08-1716	<input type="checkbox"/>
5	Miyahara et al., Expression of neural cell adhesion molecules (polysialylated form of neural cell adhesion molecule and L1-cell adhesion molecule) on resected small cell lung cancer specimens: in relation to proliferation state, 2001, J. Surg. Oncol. 22: 49-54	<input type="checkbox"/>
6	Kowitz et al., Expression of L1 cell adhesion molecule is associated with lymphoma growth and metastasis, 1993, Clin. Exp. Metastasis 11: 41 9-429	<input type="checkbox"/>
7	Itoh et al., A novel monoclonal antibody against carbohydrates of L1 cell adhesion molecule causes an influx of calcium in cultured cortical neurons, 1992, Brain Res. 15: 233-240	<input type="checkbox"/>
8	Klinz et al., L1 and N-CAM antibodies trigger protein phosphatase activity in growth cone-enriched membranes, 1995, J. Neurochem. 65: 84-95	<input type="checkbox"/>
9	Izumoto et al., Gene expression of neural cell adhesion molecule L1 in malignant gliomas and biological significance of L1 in glioma invasion, 1996, Cancer Res. 56: 1440-1444	<input type="checkbox"/>
10	Schaefer et al., Activation of the MAPK Signal Cascade by the Neural Cell Adhesion Molecule L1 Requires L1 Internalization, 1999, J. Biol. Chem. 274: 37965-37973	<input type="checkbox"/>
11	Di Sciuillo et al., L1 antibodies block lymph node fibroblastic reticular matrix remodeling in vivo, 1998, J. Exp. Med., 1953-1963	<input type="checkbox"/>

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12	Hoefnagel et al., A comparison of targeting of neuroblastoma with mIBG and anti L1-CAM antibody mAb chCE7: therapeutic efficacy in a neuroblastoma xenograft model and imaging of neuroblastoma patients, 2001, Eur. J. Nucl. Med. 28:359-368,2001	<input type="checkbox"/>
13	Roninson et al., If not apoptosis, then what? Treatment-induced senescence and mitotic catastrophe in tumor cells, 2001, Drug Resistance Updates 4: 303-3 13	<input type="checkbox"/>
14	Harries and Smith, The development and clinical use of trastuzumab (Herceptin), 2002, Endocr. Relat. Cancer 9: 75-85	<input type="checkbox"/>
15	Schmid et al., A MAP kinase-signaling pathway mediates neurite outgrowth on L1 and requires Src-dependent endocytosis, 2000, J. Neurosci. 2: 4177-4188	<input type="checkbox"/>
16	Dahrne et al., Disruption of the mouse L1 gene leads to malformations of the nervous system, 1997, Nat. Genet. 17 346-349	<input type="checkbox"/>
17	Accession No. NM_000425.2.	<input type="checkbox"/>
18	Oravcova et al., Drug-protein binding sites. New trends in analytical and experimental methodology, 1996, J. Chromat. B 30 677: 1-27	<input type="checkbox"/>
19	Kuhnz and Gieschen, Predicting the oral bioavailability of 19-nortestosterone progestins in vivo from their metabolic stability in human liver microsomal preparations in vitro, 1998, DRUG METABOLISM AND DISPOSITION, 26, pp. 1120-11 27	<input type="checkbox"/>
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☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

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☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

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